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CERTIFICATE OF MAILING

I hereby certify that the attached correspondence and/or fee are being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Sept 12, 2002
Date of Deposit

Lois Maglione
Lois Maglione

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Client No: 103753-134376

-----X
Applicant: Anil Suri
Serial No.: 10/077,611
Filed: February 15, 2002
Title: METHOD AND SYSTEM FOR THE
MANAGEMENT OF STRUCTURED
COMMODITY TRANSACTIONS AND
TRADING OF RELATED FINANCIAL
PRODUCTS
Docket No: 103753-203-NP
-----X

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION**

Box: Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts dated March 12, 2002, the Applicant submits the following item(s):

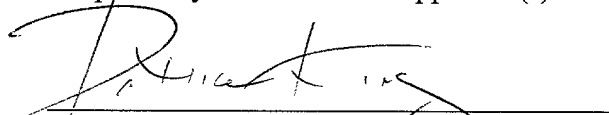
- ☐ Copy of a combined Declaration and Power of Attorney;

- ☐ Substitute drawings in compliance with 37 CFR 1.84;
- ☐ A late filing or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65.00 for a small entity;
- ☐ Copy of Notice to File Missing Parts of Nonprovisional Application; and
- ☐ Petition For Extension of Time Under 37 C.F.R. 1.136(a)

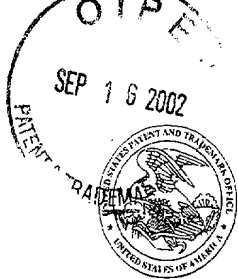
The Commissioner is hereby authorized to charge the late filing fee or oath or declaration surcharge of \$65.00, petition for extension of time fee of \$720.00 and any additional fees or credit any overpayment to Deposit Account 06-0923.

Enclosed is a duplicate copy of this correspondence.

Respectfully submitted for Applicant(s)



Patrice A. King, Esq. (Reg. No. 44,833)
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/077,611	02/15/2002	Anil K. Suri	103753-203 - NP

CONFIRMATION NO. 2874

24964
GOODWIN PROCTER & HOAR LLP
7 BECKER FARM RD
ROSELAND, NJ 07068

FORMALITIES LETTER



OC000000007618082

Date Mailed: 03/12/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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f. B. [unclear]

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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